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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,181	11/21/2001	Igor Gonda	AERX088

24353  
BOZICEVIC, FIELD & FRANCIS LLP  
200 MIDDLEFIELD RD  
SUITE 200  
MENLO PARK, CA 94025



CONFIRMATION NO. 1229

## FORMALITIES LETTER



\*OC000000007324268\*

Date Mailed: 01/17/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/05/2002 SMINASS1 00000116 500815 09990181 FILED UNDER 37 CFR 1.53(b)

01 FC:101 740.00 CH  
02 FC:103 144.00 CH  
03 FC:105 130.00 CH

*Filing Date Granted*

COPY OF PAPER  
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

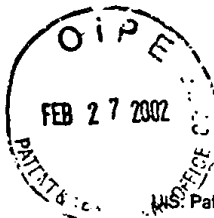
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$144.  
    ■ \$144 for 8 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1014.

*A copy of this notice MUST be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

Please type a plus sign (+) inside this box →



PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/990,181
	Filing Date	November 21, 2001
	First Named Inventor	GONDA, IGOR
	Group Art Unit	1614
	Examiner Name	To Be Assigned
	Attorney Docket Number	AERX-088
Total Number of Pages in This Submission	6	

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Copy of Notice to File Missing Parts (1 page); Executed Declaration and Power of Attorney (2 pages); Return Receipt Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual Name	KARL BOZICEVIC. Reg. No. 28.807
Signature	
Date	February 12, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 12, 2002.	
Typed or printed name	Kimberly Williams Zuehlke
Signature	
Date	February 12, 2002

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PTO/SB/17 (10-01)

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT		(\$)		1,014.00	
<b>METHOD OF PAYMENT</b>				<b>Complete if Known</b>	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to: Deposit Account Number 50-0815 Deposit Account Name Bozicevic, Field & Francis LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27				Application Number 09/990,181	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				Filing Date November 21, 2001	
<b>FEE CALCULATION</b>				First Named Inventor GONDA, IGOR	
2. BASIC FILING FEE				Examiner Name To Be Assigned	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid				Group Art Unit 1614	
Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)				Attorney Docket No. AERX-088	
101 740 201 370 Utility filing fee 740.00					
106 330 206 165 Design filing fee					
107 510 207 255 Plant filing fee					
108 740 208 370 Reissue filing fee					
114 160 214 80 Provisional filing fee					
SUBTOTAL (1) 740.00					
1. EXTRA CLAIM FEES				3. ADDITIONAL FEES	
Total Claims 28-20** = 8 x 18 = 144.00				Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid	
Indep. Claims 3-3** = 0 x 84 = 0.00				Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)	
Multiple Dependent 0 = 0.00				105 130 205 65 Surcharge - late filing fee or oath 130.00	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid				127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)				139 130 139 130 Non-English specification	
103 18 203 9 Claims in excess of 20				147 2,520 147 2,520 For filing a request for ex parte reexamination	
102 84 202 42 Independent claims in excess of 3				112 920* 112 920* Requesting publication of SIR prior to Examination action	
104 280 204 140 Multiple dependent claim, if not paid				113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
109 84 209 42 ** Reissue independent claims over original patent				115 110 215 55 Extension for reply within first month	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent				116 400 216 200 Extension for reply within second month	
SUBTOTAL (2) \$ 144.00				117 920 217 460 Extension for reply within third month	
**or number previously paid, if greater; For Reissues, see above.				118 1,440 218 720 Extension for reply within fourth month	
				128 1,960 228 980 Extension for reply within fifth month	
				119 320 219 160 Notice of Appeal	
				120 320 220 160 Filing a brief in support of an appeal	
				121 280 221 140 Request for oral hearing	
				138 1,510 138 1,510 Petition to institute a public use proceeding	
				140 110 240 55 Petition to revive - unavoidable	
				141 1,280 241 640 Petition to revive - unintentional	
				142 1,280 242 640 Utility issue fee (or reissue)	
				143 460 243 230 Design issue fee	
				144 620 244 310 Plant issue fee	
				122 130 122 130 Petitions to the Commissioner	
				123 50 123 50 Processing fee under 37 CFR 1.17(q)	
				126 180 126 180 Submission of Information Disclosure Stmt	
				581 40 581 40 Recording each patent assignment per property (times number of properties)	
				146 740 246 370 For each additional invention to be examined (37 CFR § 1.129(a))	
				149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
				179 740 279 370 Request for Continued Examination (RCE)	
				169 900 169 900 Request for expedited examination of a design application	
				Other fee (specify) _____	
				SUBTOTAL (3) (\$)	
				130.00	

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Karl Bozicevic	Registration No. (Attorney/Agent)	28,807	Telephone	(850) 327-3400
Signature		Date	02/12/2002		

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